APPENDIX A TO REPORT OF THE INFORMATION MANAGER TO EXECUTIVE 2006-04-06 IMPLEMENTING ELECTRONIC GOVERNMENT STATEMENT 6





IMPLEMENTING ELECTRONIC GOVERNMENT RETURN 2006 (IEG6)

"Meeting the targets for e-government"

Proforma for esd-toolkit entry

This is the proforma for IEG6 returns. A copy of this proforma will be published for online data entry via www.esd-toolkit.org from March 2006. Please note that the deadline for IEG6 submissions via the esd-toolkit is midday on Monday 10 April 2006.

local and regional government • housing • planning • fire • regeneration • social exclusion • neighbourhood renewal

IMPLEMENTING ELECTRONIC GOVERNMENT RETURNS 2006 (IEG6)

Introduction

This IEG return is an essential part of the national monitoring process for assessing electronic local service delivery capability against the 2005 target and supports the delivery of priority outcomes for local e-government. It is an important feedback mechanism for assessing progress towards realising the benefits from our investment in e-government and the use of IEG funding in individual local authorities.

The Office of the Deputy Prime Minister's (ODPM) SR2002 Public Service Agreement (PSA) involves improving delivery and value for money of local services within a framework of national targets and policies. This includes:

 assisting local government to achieve 100% capability in electronic delivery of priority services by 2005, in ways that customers will use.

Building on the work undertaken by Sir Peter Gershon in his independent review of public sector efficiency¹, SR2004 sets the ODPM a new PSA target on local government:

 by 2008, improve the effectiveness and efficiency of local government in leading and delivering services to all communities

This equates to a target for local services of achieving 2.5% efficiency gains per year, amounting to at least £6.45bn per annum by 2007/08. Clearly, e-government will make a substantial contribution towards the achievement of this target. The ODPM is working in partnership with local authorities and their representative national organisations to help achieve the specified objectives, including providing a summary of efficiency gains arising from the implementation of local e-government.

The format of the IEG return is subject to consultation. Last year, this helped to achieve a 100% return rate of IEG5 submissions from local authorities. As previously, the format of the IEG6 return is intended to simplify the return process for local authorities through a self-assessment approach. Successful completion of the IEG6 return also demands awareness of the ODPM's National Strategy for Local e-Government published in November 2002, deliverables from the National Project programme and the priority outcomes for local e-government². Further details on all of these areas can be found at the ODPM's local e-government portal website www.localegov.gov.uk.

E-Government is a key tool in delivering local services. Within the CPA process, those councils that have not done well in achievement, investment, capacity or performance management may particularly need to consider the role that e-government can play as part of a wider strategy for improvement planning.

The proforma format for IEG6 returns complies with Government commitments to reduce service plan requirements for local authorities, whilst balancing the need for authorities to supply statistical information for the purpose of monitoring national progress on the implementation of local egovernment. This particular return marks the end of the IEG programme and will used as a key reference document in the audit process for assessing how related grant funding has been used.

"Excellent" CPA authorities are requested to continue to comply with requests for IEG data in order to assist in benchmarking national progress, to demonstrate their commitment to e-government and appropriate use of IEG capital grant. In particular, the fourth year of IEGs represents a crucial point

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¹ See http://www.hm-treasury.gov.uk/media/879E2/efficiency review120704.pdf

² See http://www.odpm.gov.uk/pns/DisplayPN.cgi?pn_id=2004_0112

of reference as local authorities move from the investment to the delivery phase of their local egovernment programmes.

This proforma is intended to standardise Implementing Electronic Government (IEG) returns for 2006. It has been prepared for English County, District, Unitary Councils, London Boroughs, the Corporation of London, the Greater London Authority, London Development Agency, Transport for London who are subject to the Best Value Performance Indicator 157 and have been requested to prepare these statements. An amended version of this IEG6 proforma is available to National Park Authorities reflecting their different priority outcome responsibilities. Please note that Fire & Rescue authorities are <u>not</u> required to submit an IEG6 return, although county councils with responsibility for this service should include fire and rescue data as part of their general return.

Priority Outcomes

Practitioner guidance on the interpretation of the priority outcomes is available from http://www.idea-knowledge.gov.uk/idk/core/page.do?pageId=1704073. All enquiries on policy matters relating to the priority outcomes should be addressed to the Local e-Government team at the ODPM. Authorities making a commitment to the Government Connect initiative (www.govconnect.gov.uk) are asked to comment accordingly in Section 1 of the IEG6 proforma, in order that this status can be noted in any assessment of progress.

Funding & Completeness

You should complete the IEG6 return on the basis that it is a required reference document to provide evidence to auditors of how IEG grant funding has been used. You should consult with relevant members of the ODPM's Local e-Government team for clarification of what is required to complete the proforma fully or to request assistance.

Approval & Publication

It is important that the information contained in your completed IEG6 proforma is approved by the Council before submission and that adequate time for this is built into the timetable. Please note that the esd-toolkit entry process allows you to save a pdf copy of your IEG6 return to facilitate its approval by Members. You are also reminded to note any requirements for disclosure of this information on your public website, in line with your authority's approved Publication Scheme under the requirements of the Freedom of Information Act 2000.

What's New in the Proforma?

The IEG6 proforma purposely does not contain any substantive changes from December's IEG5 proforma. However, it does contain minor updates to reflect date-related changes.

Submission

Please note that submissions will only be accepted online via the Electronic Service Delivery (ESD) toolkit supported by the Improvement & Development Agency (IDEA) (www.esd-toolkit.org)³. Councils must make individual submissions - partnership returns are not acceptable.

You should allow adequate time to register and acquaint yourself with this facility prior to the submission deadline. Registration is free, and is both sufficient for the purposes of IEG6 submission and for obtaining a copy of the local Government Services List (LGSL) needed for BVPI 157 calculation.

Please do not hesitate to contact relevant members of the ODPM Local e-Government team should you require further information or clarification. Contact details can be found on the last page of this guidance.

PROFORMA CONTENT



You are asked to summarise the plans and progress of your local authority according to the information requested below, as follows:

Section 1 – Priority Outcomes (self-assessment)

Section 2 – Change Management (self-assessment)

Section 3 - BVPI 157

Section 4 - Access Channel Take-Up

Section 5 – Local e-Government Implementation Costs

Section 6 – Local e-Government Programme Efficiency Savings

Please refer to www.localegov.gov.uk for further background information, including details of the priority outcomes guidance paper. Information on National Projects is available at www.localegovnp.org. Further information about local e-government is also available from the IDEA's Knowledge website at www.idea-knowledge.gov.uk.

In relation to Section 1 below, it is recognised that local authorities in two-tier areas may not have a statutory responsibility for service delivery in some of the areas listed. It is not the intention to ask district councils to deliver service provision outcomes for schools, or for county councils to deliver outcomes for benefits. However, a minimum requirement in terms of meeting a priority outcome for an authority with no direct statutory service responsibility is to offer appropriate (deep) hyperlinks to the relevant web pages of the local district or county councils that do provide the service. In practice, authorities in two-tier areas will need to work in partnership to establish

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³ IEG6 returns submitted by email will only be accepted for National Park Authorities, the Greater London Authority, the London Development Agency and Transport for London who are not catered for through esd-toolkit arrangements.

protocols covering the deep linking responsibilities within the ODPM's Priority Outcomes. This information should then be 'traffic-lighted' on the proforma accordingly.

Please add any explanatory or qualifying notes in the comment column, as appropriate.

Not all the elements in the proforma checklist in Section 2 below will necessarily be a part of your local e-government strategy, but you are expected to be aware of all of these elements and have taken a corporate position in relation to them. It is recognised that your IEG strategy will reflect local priorities and customer preferences, but you are asked specifically to provide accompanying commentary on any areas of the proforma checklist that remain "red" at the end of 2005/06.

Name of Authority:	West Berkshire Council
IEG Contact Name:	_David Lowe
Email:	_dlowe@westberks.gov.uk
Telephone No:	01635 519817

Local Context

You may enter free text here to summarise the impact and achievements of your local e-government programme to date and your service transformation plans beyond 2005/06, including the benefits that citizens will see as a result of e-government investment. In particular, you should cover the contribution of e-government to corporate efficiency gains, plans for increasing the take-up of e-services and promoting digital inclusion. It is suggested that you avoid the use of technical jargon in this section.

West Berkshire Council, with business partners, has invested heavily in initiatives designed to improve the service it provides to local people. These have included the implementation of a customer contact centre and new technologies, supported by working practises, that provide better value for money to council tax payers.

The next stage for the council is to address underlying efficiencies by the use of technologies. This will include the use of electronic document and records management technologies to enable space saving, flexible working and more rapid compliance with access to information legislation. The council will also promote the use of self-service communications channels, again to release staff time for more direct service delivery. Self-service channels will increasingly become integrated with normal line of business processes to ensure that maximum return on investments made can be realised. It is expected that national take up campaigns will play a significant part in the delivery of council's plans.

Traffic Light Status: availability against 31 December 2005 target date for local e-government	Status at 31/12/05	Status at 31/03/06	Comment
target date for local e-government			

Local e-organisation:			
Red = Preparation & planning – to include projects that are being planned or being piloted			e.g. "red" status should be applied to all elements on the proforma where work is at a primary or research stage, being piloted before wider rollout across the authority/partnership, or planned but not yet approved for funding.
Amber = Implementation stage – roll out of approved projects			e.g. "amber" status should be applied to all elements on the proforma where work has been approved for funding and is actively being implemented.
Green = Fully implemented – projects completed & implemented			e.g. "green" status should be applied to all elements on the proforma where projects have been actioned and implemented or particular standards achieved with plans for extended rollout on an enterprise-wide basis, i.e. across the authority/partnership. Please note that all "R" and "G" numbered priority outcomes listed in Section 1 are expected to be "green" by 31 December 2005 and 31 March 2006 respectively. This includes a requirement for deep-linking in relation to non-statutory functions.
e.g. for progress against a particular element you might enter:	Amber	Green	
Outcome & Transformation Area Description	Status at 31/12/05	Status at 31/03/06	Comment You may comment here in order to qualify the information given, request ODPM support, or identify your authority as an exemplar of good practice in a particular area

R1 Parents/guardians to apply online for school places for children for the 2007 school year. The admissions process starts about a year before the beginning of the school year, e.g. September 2006 for 2007 entry ⁴	Green	Green
R2 Online access to information about educational support services that seek to raise the educational attainment of Looked After Children ⁵ .	Amber	Green
G1 Development of an Admissions Portal and / or e-enabled telephone contact centre to assist parents, carers and children in their choice of, and application to local schools	Green	Green
E1 If already 'green' on R1, R2 & G1 above, please comment on agreed baseline and targets for take-up of online schools admissions service and educational attainment of Looked After Children in comment column opposite. Otherwise, leave this row blank.		
R3 One stop direct online access and deep linking to joined up A-Z information on all local authority services via website or shared telephone contact centre using the recognised taxonomy of the Local Government Category List ⁶ (see www.laws-project.org.uk).	Amber	Green
R4 Local authority and youth justice agencies to co-ordinate the secure online sending, sharing of and access to information in support of crime reduction initiatives in partnership with the local community.	Green	Green
G2 Empowering and supporting local organisations, community groups and clubs to create and maintain their own information online, including the promotion of job vacancies and events	Green	Green
E2 If already 'green' on R3, R4 & G2 above, please comment on agreed baseline and targets for customer satisfaction and efficiency savings between the supplying organisations on shared community information initiatives in the comment column opposite. Otherwise, leave this row blank.		
R5 Public access to online reports, minutes and agendas from past council meetings, including future meetings diary updated daily.	Green	Green

⁴ Owing to the long lead-in time, school admissions systems will need to be in place by March 2006 at the latest.
⁵ i.e. young people who cannot live with their families and are in the care of Social Services (referred to by the DfES as Children in Public Care).
⁶ Authorities using alternative service taxonomies (e.g. seamlessUK) should plan for migration to the LGCL by December 2005.

R6 Providing every Councillor with the option to have an easy-to-manage set of public web pages (for community leadership purposes) that is either maintained for them, or that they can maintain themselves.	Green	Green	
G3 Citizen participation and response to forthcoming consultations and decisions on matters of public interest (e-consultation), including facility for citizens to sign up for email and/or SMS text alerts on nominated topics.	Amber	Amber	
G4 Establishment of multimedia resources on local policy priorities accessible via public website (e.g. video & audio files).	Amber	Green	
E3 If already 'green' on R5, R6, G3 & G4 above, please comment on agreed baseline and targets for e-participation activities, including targets for citizen satisfaction in the comment column opposite. Otherwise, leave this row blank.			
R7 Online public reporting/applications, procurement and tracking of environmental services, includes waste management and street scene (e.g. abandoned cars, graffiti removal, bulky waste removal, recycling).	Amber	Amber	Dependent on the corporate CRM project.
R8 Online receipt and processing of planning and building control applications.	Green	Green	
G5 Public access to corporate Geographic Information Systems (GIS) for map-based data presentation of property-related information.	Green	Green	
G6 Sharing of Trading Standards data between councils for business planning and enforcement purposes.	Amber	Amber	The authority is in the process of implementing the eTSN product.
G7 Use of technology to integrate planning, regulation and licensing functions (including Entertainment Licensing and Liquor Licensing) in order to improve policy and decision-making processes around the prevention of anti-social behaviour.	Green	Green	
E4 If already 'green' on R7, R8, G5, G6 & G7 above, please comment on agreed baseline and targets for take-up of planning and regulatory services online, including targets for customer satisfaction and efficiency savings in the comment column opposite. Otherwise, leave this row blank.			
R9 Appropriate online e-procurement solutions in place, including as a minimum paperless ordering, invoicing and payment.	Green	Green	

G8 Establishment of a single business account (i.e. a cross-departmental 'account' run by the local authority whereby businesses are allocated a unique identifier that can be stored and managed via a corporate CRM account facility supporting face-to-face, website and contact centre transactions).	Amber	Amber	Dependent on CRM and Government Connect.
G9 Regional co-operation on e-procurement between local councils.	Green	Green	Agresso provides part of the this solution, the remainder is dependent on the SECE portal.
If already 'green' on R9, G8 & G9 above, please comment on progress towards providing:			
E5 Access to virtual e-procurement 'marketplace';			
E6 Inclusion of Small and Medium Enterprises (SMEs) in e-procurement programme, in order to promote the advantages of e-procurement to local suppliers and retain economic development benefits within local community;			
E7 Agreed targets (please specify) for efficiency savings by December 2005, including the % of undisputed invoices paid in 30 days (BVPI 8);			
in the comment column opposite. Otherwise, leave this row blank.			
R10 Online facilities to be available to allow payments to the council in ways that engender public trust and confidence in local government electronic payment solutions (e.g. email receipting/proof of payment, supply of automatic transaction ID numbers).	Green	Green	
R11 Delivery of 'added value' around online payment facilities, including ability to check Council Tax and Business Rate balances online or via touch tone telephone dialling.	Amber	Amber	Deliverable during 2006 as part of the Revenues and Benefits system replacement project.
G10 Demonstration of efficiency savings and improved collection rates from implementation of e-payments.	Amber	Amber	It is assessed that compliance with this target is unlikely to make non-payers pay.
G11 Registration for Council Tax and Business Rates e-billing for Direct Debit payers.	Amber	Amber	Deliverable during 2006 as part of the Revenues and Benefits system replacement project.
If already 'green' on R10, R11 G10 & G11 above, please comment on progress towards providing:			
E8 Provision of facilities for making credit or debit card payments via SMS text message for parking fines (mobile phone);			

E9 Adoption of smart cards as standard for stored payments (e.g.			
replacing swipe cards);			
E10 Agreed baseline and targets for reductions in unit costs of payment transactions;			
in the comment column opposite. Otherwise, leave this row blank.			
R12 Online renewal and reservations of library books and catalogue search facilities.	Green	Green	
R13 Online booking of sports and leisure facilities, including both direct and contracted-out operations.	Red	Red	Compliance with this target is linked to the renewal of the Leisure Services contract not due until 2007.
G12 Integrated ICT infrastructure and support to ensure the consistent delivery of services across all access channels (e.g. web, telephone, face to face) based on e-enabled back offices and smart card interfaces for council library, sports and leisure services.	Red	Red	Compliance with this target is linked to the renewal of the Leisure Services contract not due until 2007.
E11 If already 'green' on R12, R13 & G12 above, please comment on agreed baseline and targets for take-up of library, sports & leisure services online, including targets for customer satisfaction and efficiency savings in the comment column opposite. Otherwise, leave this row blank.			
R14 Online facilities to be available to allow the public to inspect local public transport timetables and information via available providing organisation, including links to 'live' systems for interactive journey planning.	Green	Green	
R15 Online public e-consultation facilities for new proposals on traffic management (e.g. controlled parking zones (CPZs), traffic calming schemes), including publication of consultation survey results.	Green	Green	
G13 E-forms for "parking contravention mitigation" (i.e. appeal against the issue of a penalty charge notice), including email notification of form receipt and appeal procedures.	Green	Green	
G14 GIS-based presentation of information on roadworks in the local area, including contact details and updated daily.	Green	Green	
E12 If already 'green' on R14, R15, G13 & G14 above, please comment on agreed baseline and targets for customer satisfaction and efficiency savings in the column opposite. Otherwise, leave this row blank.			

R16 E-enabled "one stop" resolution of Housing & Council Tax Benefit enquiries via telephone, contact centres, or via one stop shops using workflow tools and CRM software to provide information at all appropriate locations and enable electronic working from front to back office.	Amber	Amber	Deliverable during 2006 as part of the Revenues and Benefits system replacement project.
R17 Online facilities to be available to allow citizens or their agents to check their eligibility for and calculate their entitlement to Housing & Council Tax Benefit and to download and print relevant claim forms.	Green	Green	
G15 Mobile office service using technology to offer processing of Council Tax and Housing Benefit claims directly from citizens' homes.	Amber	Green	
If already 'green' on R16, R17 & G15 above, please comment on progress towards providing:			
E13 Agreed baseline and targets for turnaround in processing of Council Tax and Housing Benefit claims (BVPI 78) and renewals.			
E14 Pre-qualification of Council Tax and Housing Benefit claimants for other eligible entitlements (e.g. school uniform grants, free school meals), including pre-filling of relevant claim forms.			
in the comment column opposite. Otherwise, leave this row blank.			
R18 Comprehensive and dedicated information about access to local care services available over the web and telephone contact centres.	Green	Green	
R19 Remote web access or mediated access via telephone (including outside of standard working hours availability) for authorised officers to information about individual 'care packages', including payments, requests for service and review dates.	Amber	Amber	Comprehensive cover dependent on implementation of client system, scheduled to go live in April 06.
G16 Systems to support joined-up working on children at risk across			
multiple agencies.	Amber	Green	
G17 Joint assessments of the needs of vulnerable people (children and adults), using mobile technology to support workers in the field.	Amber	Amber	Comprehensive cover dependent on implementation of client system, scheduled to go live in April 06.
E15 If already 'green' on R18, R19, G16 & G17 above, please comment on agreed baseline and targets for customer satisfaction, including improvement in numbers of users/carers who said that they got help quickly (BVPI 57) in the column opposite. Otherwise, leave this row blank.			
R20 Email and Internet access provided for all Members and staff that establish a need for it. IEG6 Final Version			

	Green	Green	
R21 ICT support and documented policy for home/remote working (teleworking) for council members and staff.	Green	Green	
R22 Access to home/remote working facilities to all council members and staff that satisfy the requirements set by the Council's published home/remote working policy.	Green	Green	
G18 Establishment of e-skills training programme for council members and staff with recognised basic level of attainment (e.g. European Computer Driving Licence, British Computer Society Qualification "e-Citizen").	Amber	Amber	Training for members and staff is tailored to suit local needs. Although based on standard practice, the training is not designed for the attainment of qualifications.
E16 If already 'green' on R20, R21, R22 & G18 above, please comment on agreed targets for baseline and efficiency savings arising from the introduction of new ways of working in the column opposite. Otherwise, leave this row blank.			
R23 Self-service or mediated access to all council services outside standard working hours via the Internet or telephone contact centres (i.e. available for extended hours outside of 9am-5pm Monday to Friday).	Green	Green	
R24 Implementation of a content management system (CMS) to facilitate devolved web content creation and website management.	Green	Green	
G19 Adoption of ISO 15489 methodology for Electronic Document Records Management (ERDM) and identification of areas where current records management policies, procedures and systems need improvement to meet the requirements of Freedom of Information (FOI) and Data Protection legislation (see www.pro.gov.uk/about/foi/map-local.rtf).	Amber	Amber	Committed to the principles of ISO 15489, the council expects to procure an electronic document and records management system during 2006/07. Full roll out across the entire authority will take until 2007/08.
G20 Conformance with level AA of W3C Web Accessibility Initiative (WAI) standards on website accessibility (see www.w3.org/WAI).	Green	Green	The council's content management system complies with these standards although it expected that some information, due to its nature (eg GIS) will never be 100% compliant.
G21 Compliance with Government Interoperability Framework (e-GIF), including the Government Metadata Standard (e-GMS) (see www.egifcompliance.org & www.govtalk.gov.uk).	Amber	Amber	The council has an e-GIF strategy that complies with the requirement. Whenever possible, all new systems and internal interfaces will comply.

E17 If already 'green' on R23, R24, G19, G20 & G21 above, please comment on agreed baseline and targets for efficiency savings based around improved accessibility of services and information in the column opposite. Otherwise, leave this row blank.			
R25 Online publication of Internet service standards, including past performance and commitments on service availability.	Green	Green	
R26 Monitoring of performance of corporate website, or regional web portal, between 2003/04 and 2005/06 in order to demonstrate rising and sustained use, as measured by industry standards including page impressions and unique users.	Green	Green	
G22 Establishment of internal targets and measures for customer take-up of e-enabled access channels.	Amber	Amber	Aligned to the Gershon efficiency agenda.
G23 Adoption of recognised guidelines for usability of website design (see www.laws-project.org.uk).	Amber	Green	
E18 If already 'green' on R25, R26, G22 & G23 above, please comment on agreed baseline and take-up targets for migration of local authority business to e-access channels (e.g. web, telephone contact centres, Interactive Digital TV, mobile telephone) by 2005/06, including efficiency savings in the column opposite. Otherwise, leave this row blank.			
R27 Systems in place to ensure effective and consistent customer relationship management across access channels and to provide a 'first time fix' for citizen and business enquiries, i.e. using a common database, which holds customer's records, to deliver services across different channels, and enabling joined-up and automated service delivery.	Amber	Amber	Although a system is being procured, it is expected that the full roll out to the entire authority will take some time to achieve.
R28 All email and web form acknowledgements to include unique reference number allocated to allow tracking of enquiry and service response.	Amber	Amber	Dependent on CRM (see R27).
R29 100% of email enquiries from the public responded to within one working day, with documented corporate performance standards for both email acknowledgements and service replies	Amber	Amber	Dependent on CRM (see R27).
G24 Integration of customer relationship management systems with back office activity through use of enabling technology such as Workflow to create complete automation of business process management.	Amber	Amber	Dependent on CRM (see R27).

G25 Facilities to support the single notification of a change of address, i.e. a citizen should only have to tell the council they have moved on one occasion and the council should then be able to update all records relating to that person to include the new address.	Amber	Amber	Dependent on CRM (see R27).
E19 If already 'green' on R27, R28, R29, G24 & G25 above, please comment on agreed baseline and improvement targets for the percentage of public enquiries about council services resolved at first point of contact and efficiency savings resulting from investment in customer relationship management and workflow technology in the column opposite. Otherwise, leave this row blank.			

Section 2 - Change Management (self-assessment)

Authorities are asked to provide information on advisory good practice outcomes relating to the internal organisation and management practices of the council that are required to help deliver the people, systems and service management changes necessary for e-government. Information supplied here will be used to inform national policy, but does not fall within the remit of e-government targets.

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•	Appointment of people to the following key local e-government functions in your Council (see http://www.idea-knowledge.gov.uk/idk/aio/206757 :		
	i) Member & officer e-champions	Green	Green
	ii) e-government programme manager	Green	Green
	iii) customer services management	Green	Green
•	Inclusion of competency development of the above key functions and training for staff affected by e-Government projects, within the Council's workforce development planning (for more information about the e-capacity Building Programme see http://www.lamip.org/MicroSites/eCapacityBuilding/Pages/TemplateUser.aspx?PageType=StandardContent&XSL=standardcontent&Key=1)	Red	Red
•	Establishment of an e-delivery board ⁷	Green	Green
•	Use of formalised programme & project management methodologies (e.g. PRINCE2, MSP) to support e-delivery programme	Green	Green
•	Documentation/agreement of corporate risk management strategy for roll-out of local e-government, including regular review of risk mitigation measures	Green	Green
•	Use of customer consultation/research to inform development of corporate e-government strategy	Green	Green
•	Establishment of policy for addressing social inclusion within corporate e-government strategy	Green	Green
•	Identification of the specific needs of the most disadvantaged groups and exploring how Information Communication Technologies (ICT) can help to address these needs (see http://www.socialexclusion.gov.uk/page.asp?id=583)	Green	Green

⁷ i.e. the board that has overall responsibility for all the e-programmes in an authority as well as other governance issues such as overseeing the general management processes and the ICT architecture to deliver e-government.

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	Appointment of officer(s) to lead on corporate governance of information assets and information legislation (e.g. Freedom of Information Act), including information sharing and data quality audit procedures	Green	Green
	Establishment of Public Services Trust Charter re the use of personal information collected to deliver improved services, including data sharing protocol framework (see http://www.dca.gov.uk/foi/sharing/toolkit/lawguide.pdf & http://www.govtalk.gov.uk/documents/eTrustguidegovtalk.rtf) and designation of an Information Sharing Officer	Amber	Amber
	Establishment of partnerships for the joint (aggregated) procurement of broadband services	Red	Red
	Engagement with intermediaries re addressing issues of take up and efficiency in the delivery of e-government services (e.g. Citizens Advice Bureaux) and including intermediaries component of Government Connect (see http://www.govconnect.gov.uk/com/portal/)	Green	Green
•	Compliance with BS 7799 on information security management	Amber	Amber
	 Implementation of Benefits Realisation Plan⁸ for delivery of local e-government programme strategic objectives 	Amber	Amber
	 Completion of mapping of Local Government Services List transactions against approved security levels (0-3) (see http://www.esd.org.uk/standards/lgsl/lgsl.doc & http://www.authentication.org.uk/levels.asp & http://e-government.cabinetoffice.gov.uk/assetRoot/04/00/22/40/04002240.doc) 	Amber	Amber
	Planned compliance to HMG Security and authentication frameworks through commitment to citizen, employee and volunteer account registration in Government Connect (see http://e-government.cabinetoffice.gov.uk/ccm/portal/ http://e-government.cabinetoffice.gov.uk/assetRoot/04/00/22/45/040 http://e-government.cabinetoffice.gov.uk/assetRoot/04/00/22/40/040 http://e-government.cabinetoffice.gov.uk/assetRoot/04/00/39/39/040	Amber	Amber
	Compliance with an independent trust scheme approval process		
-	Compliance with an independent trust scheme approval process	l	

⁸ Areas where benefits may be identified include policy or legal requirement (required), quality of service, internal management, process improvement (productivity or efficiency), Personnel or HR management, risk reduction, flexibility, economy, revenue enhancement or acceleration, strategic fit.

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dos	and to provide accurance for individuals and companies	Amber	Ambor	
usin www	gned to provide assurance for individuals and companies g or relying upon e-business transactions (see v.tscheme.org) and which will work with Government nect (see http://www.govconnect.gov.uk/ccm/portal/)	Amber	Amber	
•	Use of Government Connect (see http://www.govconnect.gov.uk/ccm/portal/) to support:			
i)	personalisation & registration for services categorised at security levels '0' and '1' through the citizen account	Amber	Amber	
ii)	adoption of Unique IDentifiers (UIDs) and associated standards, as designated in Government Connect	Amber	Amber	
iii)	the bereavement journey & closing of accounts (see http://www.cabinetoffice.gov.uk/regulation/pst/projects/mad/bereave.asp	Amber	Amber	
iv)	citizen & business authentication for services for services categorised at security levels 0-3	Amber	Amber	
v)	registration & authentication of employees for internal and cross-agency services	Amber	Amber	
vi)	corporate approach to collection of e-payments	Amber	Amber	
vii)	cross agency secure transactions (Government to Government)	Amber	Amber	
viii)	account structures for citizens, businesses, property, voluntary & community bodies, schools and parishes	Amber	Amber	
ix)	common XML schema and frameworks for performance management, Local Strategic Partnerships and Local Area Agreements (where in place)	Amber	Amber	
x)	GC Register (see http://www.govconnect.gov.uk/ccm/woss-demo/the-programme.en)	Amber	Amber	
xi)	GC Exchange (see http://www.govconnect.gov.uk/ccm/woss-demo/the-programme.en)	Amber	Amber	
<u>http</u>	rernment Connect (see ://www.govconnect.gov.uk/ccm/portal/) back-office nection in place (Department Interface Server)	Amber	Amber	
serv mair Inte the	ble Directgov (see www.direct.gov.uk) to deeplink into vice pages on local authority websites, by providing & ntaining URL data, based on Local Government Service & raction lists, standard schemas and formats, as directed by Local Directgov programme (see www.localegov.gov.uk/localdirectgov/ieg5)	Green	Green	
Rec	iprocal connection to Directgov (see ://www.direct.gov.uk) from corporate website and	Green	Green	

	partnership portal(s)		
•	Introduction of Digital Interactive TV services (see http://www.digitv.org.uk)	Red	Red
•	Establishment of dedicated telephone contact centre(s) services	Amber	Amber
•	Compliance with Freedom of Information Act 2000, including responding to requests for information from individuals within a reasonable time period (see http://www.lcd.gov.uk/foi/foidpunit.htm & http://www.pro.gov.uk/recordsmanagement/access/default.htm)	Green	Green
•	Regularly-maintained link from Local Land & Property Gazetteer (LLPG) to National Land & Property Gazetteer (NLPG) (see http://www.nlpg.org.uk)	Green	Green
•	Local Land & Property Gazetteer (LLPG) linked to Customer Relationship Management (CRM) systems	Amber	Amber
•	Connection to National Land Information Service (NLIS) at Level 3 (see http://www.nlis.org.uk)	Amber	Amber
•	Introduction and maintenance of an online service directory for Children's services for professionals working with children & young people, and allowing public access where possible (for further information see http://www.dfes.gov.uk/isa)	Amber	Amber

Section 3 - BVPI 157

Councils are asked to complete the following table using the definition of Best Value Performance Indicator (BVPI) 157 for Electronic Service Delivery (Corporate). You are required to validate your local list of interactions against Version 2.01⁹ of the Local Government Services List (LGSL) developed by local authority members of the esd-toolkit (www.esd-toolkit.org). All totals and percentages shown should be cumulative.

	Actual							
BVPI 157 Interaction Type	Reported IEG5 % e-enabled position @ 31 December 2005	2001/2	2002/3	2003/4	2004/5	2005/6 ¹⁰		
Providing information:	99%							
Collecting revenue:	96%							
Providing benefits & grants:	92%							
Consultation:	98%							
Regulation (such as issuing licences): total types of interaction e-enabled e e-enabled	90%							
Applications for services: total types of interaction e-enabled e e-enabled	96%							
Booking venues, resources & courses: total types of interaction e-enabled e-enabled	88%							
Paying for goods & services:	91%							
Providing access to community, professional or business networks:	98%							
Procurement:	86%							
 TOTAL:TYPES OF INTERACTION E-ENABLED % E-ENABLED 	97%							

⁹ This updates Version 2.0 to include National Park Authority services and represents the 'core' list which will remain unchanged until April 2006 and will provide a common baseline for calculating BVPI 157 and reporting figures in IEG returns.

¹⁰ Authorities should base their annual BVPI 157 actuals on the position at the 31st March in each financial year (i.e. year end), including the end of programme position for 2005/06.

Section 4 - Access Channel Take-Up

In order to demonstrate public take-up of the main e-access channels that you are investing in, you are asked to complete the table below detailing actual and forecast figures for numbers of e-enabled payment transactions and change of address notifications. Planning authorities should also complete the Local Service Website line for planning applications. It is important that e-access channel investment and rollout also facilitates accompanying improvements in the corporate management capability required to monitor and collect such statistics. Industry definitions of page impressions and unique users are given in the footnotes below

mpressions' and unique users' are given in the footnotes below.								

Section 5 – Local e-Government Implementation Expenditure

¹¹ **Unique User** (industry audit definition): According to IFABC Global Web Standards (www.ifabc.org) a Unique User is an "IP address plus a further identifier. Sites may use User Agent, Cookie and/or Registration ID." Note that where users are allocated IP addresses dynamically (for example by dial-up Internet Service Providers), this definition may overstate or understate the real number of individual Users concerned. A Unique User is at a minimum an IP address + the Browser ID with a unique address entering a website by any page and is counted once for the given period (the minimum audit period is one calendar month). The number of Unique Users is an indicator of a website's audience or reach.

Page Impression (industry audit definition): According to IFABC Global Web Standards (www.ifabc.org) a Page Impression is a "file or a combination of files sent to a user as a result of that user's request being received by the server." In effect, one request by a valid User should result in one Page Impression being counted. In most cases, a single request from a User causes the server to send several files to satisfy the request. For example, the server may send a .html file followed by several associated graphic images and audio files. A single request from a user may also cause the server to send additional .html files to build a frameset. The site must ensure that all additional, non-requested files are filtered out and excluded when counting the claimed number of Page Impressions.

Councils are asked to provide a summary of current and forecast expenditure on implementing electronic government up to 2007/08. This should include the standard elements in the table below and brief commentary on the use of IEG money. For 2006/7 onwards, please include best estimates of revenue and capital expenditure even though the council may not yet have officially approved the budgets. (Please note that implementing e-government expenditure refers to investment designed to e-enable local services and to transform their accessibility, quality and cost-effectiveness in line with the 2005 target. Cyclical spend related to the maintenance of the existing ICT infrastructure should not be included):

	Backware	d Look (£)	Fon	ward Look	(£)	Comment
Programme Resources	01/02 to 03/04	04/05	05/06	06/07	07/08	
IEG capital grant	400K	350K	150K	0	0	
ODPM Local e-Government Support & Capacity Programme capital grant	0	0	0	0	0	
your council's nominal pro rata share of ODPM Local e- Government Partnership Programme capital grant allocated in your area	0	0	0	0	0	
financial contribution from public-private partnerships	4,375K	2,803K	1,462K	1,361K	0	Contribution from Amey West Berkshire Partnership
resources being applied from internal revenue and capital budgets ¹³ to implement e-government	0	100K	1,765K	994K	0	WAN Improvements GIS improvements new email system and new Children's Adults Services systems
other resources (e.g. training) (please specify)	0	0	0	0	0	
ODPM e-Innovations Fund capital grant	0	0	0	0	0	
financial contributions from other sources of Government funding, such as the Invest to Save Budget (ISB), EU funding	0	0	0	0	0	
TOTAL	4,775K	3,153K	3,377K	2,355K	0	

¹³ Please show the actual capital expended in each year, not the annual cost of servicing the loan.

Section 6 – Local e-Government Programme Efficiency Gains¹⁴

The calculation of efficiency gains from local e-government has been designed to align with the approach to measuring achievement against the efficiency gains target set out in the January 2005 Efficiency Technical Note (ETN) for Local Government¹⁵. Links to listed websites in the table Notes also offer a key source of support in calculating figures.

		ard Look (£)	Forward Look (£)						Notes		
	04/05		05/06		06/07		07/08				
Efficiency gains	Annual gain	of which cashable	Expected annual gain	of which cashable	Expected annual gain	of which cashable	Expected annual gain	of which cashable			
Corporate services, of which:	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES	Corporate Services includes, but is not limited to, Finance, HR (in respect of training; only the function, not the spend), ICT, Procurement (the function, not the spend), Legal Services, Facilities Management, Travel Services, Security Services and Marketing & Communications).		
e-recruitment	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES	Includes administrative savings on staff time (where staff are reallocated), printing, postage and on advertising expenditure.		
e-payments	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES	See http://epay.brandid.net/bus-case/intro.php .		
corporate services efficiencies not covered above	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES			
e-Procurement, of which:	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES	Procured goods and services include commodity goods and services as well as professional services, temporary labour and construction. It also includes sector specific markets for areas such as roads, social housing, social care, environmental services and police. See www.idea-knowledge.gov.uk/idk/aio/70780 .		
Service Specific	In AES	In AES	In AES	In AES	In AES	In AES	In AES	In AES	i.e. including Adult Social Services, Children's services, Culture and sport, Environmental services, Local transport, LA social housing, Non-school education services, supporting people.		

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i.e. Increases in output quality and quantity for the same cost, or sustained outputs for reduced cost, arising from the application of local e-government to automate business processes and improve managerial effectiveness. Only efficiency gains arising from investment to e-enable local services and to transform their accessibility, quality and cost-effectiveness in line with the 2005 target should be included. Savings arising from cyclical spend related to the maintenance of the existing ICT infrastructure should not be included.

¹⁵ See http://www.odpm.gov.uk/stellent/groups/odpm localgov/documents/page/odpm locgov 032805.pdf. IEG6 Final Version

Cross-cutting e- procurement efficiencies not covered above	In AES								
Productive time, of which:	In AES	The focus for this work stream is on increasing the productivity of front line staff and those who support them. Councils are expected to drive through the benefits of e-Government investment in terms of changing working and management practices to maximise the amount of time that existing members of staff are engaged in productive work (with costs reallocated accordingly), e.g. by reducing the time spent by professionals in accessing and handling information, reducing time lost through high staff turnover by introduction of remote working / home working.							
Service Specific	In AES	i.e. including Adult Social Services, Children's services, Culture and sport, Environmental services, Local transport, LA social housing, Non-school education services, supporting people.							
Cross-cutting productive time efficiencies not covered above	In AES								
Transactions	In AES	The transactions work stream applies to those efficiencies that can be gained through such means as combining activities to produce more efficient ways of dealing with customers or streamlining processes, or making a major shift from manual to electronic processing. Transactions includes areas such as council tax collection, housing benefit administration and collection of non-domestic rates, i.e. delivering efficiencies through the migration of appropriate customer groups towards more cost effective channels such as web-based interfaces and telephone contact centres, with staff reallocated accordingly.							
Miscellaneous efficiencies not covered above									
TOTAL EFFICIENCY GAINS - GROSS	In AES								
LESS e-government implementation expenditure	In AES	i.e. as identified above in Section 5 - Local e- Government Implementation Expenditure							
TOTAL EFFICIENCY GAINS - NET	In AES								

SUBMISSION

Please make sure that all IEG6 entries are completed on the esd-toolkit (<u>www.esd-toolkit.org</u>) by midday on Monday 10 April 2006.

All general comments and enquiries regarding the IEG6 process should be addressed to:

Local e-Government
Office of the Deputy Prime Minister
Zone 3/C5
Bressenden Place
London SW1E 5DU

E-mail: localegov@odpm.gsi.gov.uk

Tel: 020 7944 4258

FURTHER INFORMATION

Details of the National Strategy for local e-government and Priority Outcomes can be found at www.localegov.gov.uk. Explanatory notes for practitioners on Priority Outcomes are available at http://www.idea-knowledge.gov.uk/idk/core/page.do?pageId=1704073.

Details of National Projects can be found at http://www.localegovnp.org

Details of Government Connect can be found at http://www.govconnect.gov.uk

The Directgov website can be found at www.direct.gov.uk

Details of national infrastructure projects can be found at http://e-gov.uk/Home/Homepage/fs/en & http://www.idea.gov.uk/lgih/

Your IEG6 contact at the ODPM is:

Peter Blair - peter.blair@odpm.gsi.gov.uk

PUBLICATION OF IEG RETURNS

The ODPM may wish to publish information in connection with IEG6 returns in due course or deposit them in its own library or that of the Houses of Parliament. Information may also be published as part of a national database to allow other local authorities to use IEG6 data for benchmarking purposes. Should you wish any element of your proforma to be treated in confidence please clearly indicate this in your response, stating the reason why you believe that this information should be confidential. Nevertheless, all responses will be included in statistical summaries.